REPORT OF INVENTIONS AND SUBCONTRACTS (Pursuant to "Patent Rights" Contract Clause) (See Instructions on back)												Form Approved OMB No. 9000-0095 Expires February 28, 2026	
The public reporting burden for this collection reviewing the collection of information. Send (9000-0095). Respondents should be aware to PLEASE DO NOT RETURN YOU	comments regarding this t that notwithstanding any o	ourden estimate or a other provision of law	ny other aspect of thi , no person shall be s	s collection of info subject to any per	ormation, including halty for failing to c	suggestions fo omply with a co	r reducing the burde llection of information	en, to the De on if it does	epartment o not display	of Defense, a currently	Executive S valid OMB	Services Directorate	
1.a. NAME OF CONTRACTOR/SUBCONTRACTOR c. CONTRACT NUMBER 2.a. NAME OF GOVERNMENT PRIME CONTRACTOR c. CONTRACT NUMBER												3. TYPE OF REPORT (X one)	
										ţ		a. INTERIM	b. FINAL
b. ADDRESS (Include ZIP Code)			d. AWARD DATE	b. ADDRESS (Inc	clude ZIP Code)					d. AWARD	DATE	4. REPORTING PE	RIOD (YYYYMMDD)
		(YYYYMMDD)							(YYYYMMDD)		a. FROM		
											b. TO		
			SE	ECTION I - S		INTIONS							
5. "SUBJECT INVENTIONS" REQUIR	RED TO BE REPORTE	ED BY CONTRAC	_										
					ELECT				ON TO FILE		CONFIRMATORY INSTRUMENT		
NAME(S) OF INVENTOR(S) (Last, First, Middle Initial)						DISCLOSURE NUMBER,				LICATIONS (X) d.		OR ASSIGNMENT FORWARDED	
			TITLE OF INV	ENTION(S)	NTION(S)		PATENT APPLICATION SERIAL NUMBER OR		(1) UNITED STATES		REIGN	e.	
						PATENT NUMBER		(a) YES	(b) NO	(a) YES	(b) NO	(a) YES	(b) NO
a.		b.					с.	(4) . 20	(3) 110	(4) . 20	(5).10	(3) 120	(0)110
f. EMPLOYER OF INVENTOR(S) NOT EMP		SUBCONTRACTOR				g. ELECTED FOREIGN COUNTRIES IN WHICH A PATENT APPLICATION WILL BE FILED							
(1) (a) NAME OF INVENTOR (Last, First, Mid	(2) (a) NAME OF INVENTOR (Last, First, Middle Initial)										NTRIES OF PATENT APPLICATION		
(b) NAME OF EMPLOYER		(b) NAME OF EMPLOYER				1							
(c) ADDRESS OF EMPLOYER (Include ZIP Code)		(c) ADDRESS OF EMPLOYER (Include ZIP Code)											
		SE	ECTION II - SUB	CONTRACT	S (Containing	a "Patent F	Rights" clause)						
6. SUBCONTRACTS AWARDED BY	CONTRACTOR/SUBC		lf "None," so state)										
NAME OF SUBCONTRACTOR(S) ADDRESS (//				FAR "PATE								SUBCONTRACT DATES (YYYYMMDD)	
				BCONTRACT UMBER(S)	(1) CLAUSE	(2) DATE	DESCRIPTION OF WORK T UNDER SUBCON					(1) (1) (1)	(2) ESTIMATED
а.		b.		C.	NUMBER	(YYYYMM)		e.				(1) AWARD	COMPLETION
				SECTION II									
SECTION III - CERTIFICATION 7. CERTIFICATION OF REPORT BY CONTRACTOR/SUBCONTRACTOR (Not required if: (X as appropriate)) SMALL BUSINESS or NONPROFIT ORGANIZATION													
I certify that the reporting par		•											11
"Subject Inventions" have been							niono, inui o	don pro-	ocuui co	nave be			••
a. NAME OF AUTHORIZED CONTRACTOR	b. TITLE				c. SIGNATURE					d. DATE SIGNED (YYYYMMDD)			
OFFICIAL (Last, First, Middle Initial)													
						-						1	

GENERAL

This form is for use in submitting INTERIM and FINAL invention reports to the Contracting Officer and for use in reporting the award of subcontracts containing a "Patent Rights" clause. If the form does not afford sufficient space, multiple forms may be used or plain sheets of paper with proper identification of information by item number may be attached.

An INTERIM report is due at least every 12 months from the date of contract award and shall include (a) a listing of "Subject Inventions" during the reporting period, (b) a certification of compliance with required invention identification and disclosure procedures together with a certification of reporting of all "Subject Inventions," and (c) any required information not previously reported on subcontracts containing a "Patent Rights" clause.

A FINAL report is due within 6 months if contractor is a small business firm or domestic nonprofit organization and within 3 months for all others after completion of the contract work and shall include (a) a listing of all "Subject Inventions" required by the contract to be reported, and (b) any required information not previously reported on subcontracts awarded during the course of or under the contract and containing a "Patent Rights" clause.

While the form may be used for simultaneously reporting inventions and subcontracts, it may also be used for reporting, promptly after award, subcontracts containing a "Patent Rights" clause.

Dates shall be entered where indicated in certain items on this form and shall be entered in six or eight digit numbers in the order of year and month (YYYYMM) or year, month and day (YYYYMMDD). Example: April 2005 should be entered as 200504 and April 15, 2005 should be entered as 20050415.

1.a. Self-explanatory.61.b. Self-explanatory.61.c. If "same" as Item 2.c., so state.61.d. Self-explanatory.62.a. If "same" as Item 1.a., so state.62.b. Self-explanatory.62.c. Procurement Instrument Identification (PII) number of contract (DFARS 204.7003).72.d. through 5.e. Self-explanatory.7

5.f. The name and address of the employer of each inventor not employed by the contractor or subcontractor is needed because the Government's rights in a reported invention may not be determined solely by the terms of the "Patent Rights" clause in the contract.

Example 1: If an invention is made by a Government employee assigned to work with a contractor, the Government rights in such an invention will be determined under Executive Order 10096.

Example 2: If an invention is made under a contract by joint inventors and one of the inventors is a Government employee, the Government's rights in such an inventor's interest in the invention will also be determined under Executive Order 10096, except where the contractor is a small business or nonprofit organization, in which case the provisions of 35 U.S.C. 202(e) will apply.

5.g.(1) Self-explanatory.

5.g.(2) Self-explanatory with the exception that the contractor or subcontractor shall indicate, if known at the time of this report, whether applications will be filed under either the Patent Cooperation Treaty (PCT) or the European Patent Convention (EPC). If such is known, the letters PCT or EPC shall be entered after each listed country.

6.a. Self-explanatory.
6.b. Self-explanatory.
6.c. Self-explanatory.
6.d. Patent Rights Clauses are located in FAR 52.227.
6.e. Self-explanatory.
6.f. Self-explanatory.
7. Certification not required by small business firms and domestic nonprofit organizations.
7.a. through 7.d. Self-explanatory.